



When you know what you are getting into, the path will be easier.
In our process we:



1. Get to Know You

Schedule a 20-30 minutes call. We will talk frankly and confidentially about your business and what motivates and interests you.



2. Perform Research & Due Diligence

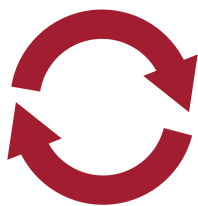
Once we've learned about you, your criteria and your practice, we research possibilities and hone in on the best two to three opportunities fitting your criteria. This normally takes 1-3 days. Special situations can take up to a week.



3. Present 'Best Fit' Options

When we complete our research, we contact you and discuss our findings. We tell those firms to send their marketing materials to you and to follow-up on their end.

We send you an overview sheet the day we talk about the firms. We highlight the key points of the firms we discussed; by both e-mail and regular mail. Resources are also sent to help you perform your due diligence on the firms you will be talking to and for making your eventual transfer.



4. Provide Ongoing Support & Guidance

From this point on, we will work as closely with you as you need. Many advisors looking for perspectives on the industry find us to be an objective sounding board. We narrow down our recommendations from over 75 firms we contract with. This is twice the field of our competitors. When the firms we contract with don't match a special need you may have, we go outside our network to find what you need. This does not happen often because we contract with the best firms in the Independent Broker Dealer market that meet a broad range of needs.

The benefits of choosing us:

- Save you time—Getting you quickly in front of the firms that best match you
- Keep you calm—not leaping from one problem firm to another
- Make you aware—of unique, progressive opportunities available in our marketplace

*We get to know you and your business well
so we can find the right broker dealer for you.*